

**ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY**

(A Company Limited by Guarantee)

~~UNAUDITED~~

**ANNUAL REPORT OF THE TRUSTEES AND FINANCIAL STATEMENTS**

**FOR THE YEAR ENDED 31 DECEMBER 2017**

# **ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY**

(A Company Limited by Guarantee)

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# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## REFERENCE AND ADMINISTRATIVE DETAILS OF THE COMPANY, ITS TRUSTEES AND ADVISERS FOR THE YEAR ENDED 31 DECEMBER 2017

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### Trustees

**Honorary President:** Professor Marilyn Palmer

**Honorary Vice-Presidents:** Professor Angus Buchanan, Sir Neil Cossons, Professor John Hume.

### The Elected Officers during 2017

Chairman: Keith Falconer  
Vice Chairman: Michael Nevell  
Honorary Secretary: David de Haan  
Honorary Treasurer: John Jones

### Council of Management

Tony Crosby, David de Haan, Kate Dickson, Keith Falconer (until 27<sup>th</sup> August 2017), Bruce Hedge, John Jones, Shane Kelleher, Ian Miller, Michael Nevell, Marilyn Palmer, Amber Patrick, Tegwen Roberts, Mark Sissons (until 1<sup>st</sup> November 2017) and Mark Watson.

### Roles of Council members during 2017, including Co-opted members

Bill Barksfield	Overseas Trips, Peter Neaverson Travel Bursary judge and Peter Neaverson Digital Initiative judge.
Chris Barney	Editor <i>IA News</i> , Local Society Publication Award judge and Best Creative Re-use of an Industrial Building Award Building judge.
Dr Robert Carr	British Archaeological Awards liaison, TICCIH-UK Representative and Restoration Grant panel member.
Tony Crosby	Restoration Grant liaison with donor, APPT representative and HLF IM&T representative.
David de Haan	Honorary Secretary, Liaison Officer, Restoration Grant panel member and HLF IM&T representative.
Stephen Dewhurst	Dorothea Award coordinator
Kate Dickson	E-FAITH Liaison and Best Creative Re-use of an Industrial Building Award Building judge.
Keith Falconer	Chairman (until 27 <sup>th</sup> August 2017), APPT representative, HLF IM&T representative, Best Creative Re-use of an Industrial Building Award Building judge and Restoration Grant panel member.
Roger Ford	Sales Officer
Rebecca Haslam	Assistant Editor, <i>IA Review</i> (Appointed 17 June 2017)
Bruce Hedge	Membership development
John Jones	Honorary Treasurer
Shane Kelleher	Industrial Heritage Support Officer, Archaeological Awards coordinator and Peter Neaverson Digital Initiative coordinator
John McGuinness	Conference Secretary

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### Roles of Council members during 2017, including Co-opted members (*continued*)

Michael Messenger	Professional Publication judge, Peter Neaverson Digital Initiative judge and Restoration Grant panel member
Stephen Miles	Conference Booking Secretary and Restoration Grant panel member
Ian Miller	Archaeological Awards judge; Co-editor <i>IA Review</i>
Roy Murphy	Publicity
Dr Michael Nevell	Vice Chairman (Chairman from 27 <sup>th</sup> August 2017), Peter Neaverson Outstanding Scholarship Award judge and Dissertation Awards judge
Prof Marilyn Palmer	Hon President, Dissertation Awards coordinator, Publication Awards coordinator and Peter Neaverson Outstanding Scholarship Award judge
Amber Patrick	Planning Casework Officer, Peter Neaverson Travel Bursary judge, Archaeological Report judge and Best Creative Re-use of an Industrial Building Award coordinator.
John Powell	Librarian and Archivist
Dr Tegwen Roberts	Social media and Dissertation Awards judge
Mark Sissons	Restoration Grants coordinator and Best Creative Re-use of an Industrial Building Award Building judge (until 1 <sup>st</sup> November 2017)
Lynne Walker	Affiliated Societies Officer
Mark Watson	Best Creative Re-use of an Industrial Building Award Building judge; Chair of TICCIIH-UK
Dr Ian West	Co-editor <i>IA Review</i> , Health & Safety Officer, Peter Neaverson Outstanding Scholarship coordinator and Peter Neaverson Travel Bursary coordinator

### Company registered number

1326854

### Charity registered number

277511

### Registered office

Ironbridge Gorge Museum Trust, Coach Road, Coalbrookdale, Telford, Shropshire, TF8 7DQ

### Independent Examiners

Scrutton Bland LLP, Chartered Accountants, Fitzroy House, Crown Street, Ipswich, Suffolk, IP1 3LG

### Bankers

NatWest Plc, 50 Station Road, Llanishen, Cardiff, CF14 5QP



# **ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY**

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## **TRUSTEES' REPORT FOR THE YEAR ENDED 31 DECEMBER 2017**

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The Trustees (who are also directors of the charity for the purposes of the Companies Act) present their annual report together with the financial statements of Association for Industrial Archaeology (the company) for the year ended 31 December 2017. The Trustees confirm that the Annual Report and financial statements of the company comply with the current statutory requirements, the requirements of the company's governing document and the provisions of the Statement of Recommended Practice (SORP), applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) (effective 1 January 2015), as amended by Update Bulletin 1 (updated 2 February 2016).

Since the company qualifies as small under section 383, the strategic report required of medium and large companies under The Companies Act 2006 (Strategic Report and Director's Report) Regulations 2013 is not required.

### **Objectives and Activities**

#### **a. Policies and objectives**

The objects for which the Association is established are to encourage and promote for the public benefit the study of, and research in, the archaeology of industry and the industrial period, and to promote education in the identification, recognition and conservation of the industrial heritage.

### **Structure, governance and management**

#### **a. Constitution**

The Association for Industrial Archaeology is registered in England as a charitable company limited by guarantee, under the Companies Act 1948 (no 1326854) and the Charities Act 1960 (no 277511). The company was established under the Memorandum of Association which established the objects and powers of the charitable company and is governed under its articles of association. In the event of the Association being wound up the members are liable for payment of the debts and liabilities of the Association and of the costs, charges and expenses of winding up. Each member is liable for such amount as may be required not exceeding £1.00.

#### **b. Method of appointment or election of Trustees**

The Council of Management is responsible for the appointment of Trustees, as a member of the Council, who are elected and co-opted under the terms of the Articles of Association.

### **Meetings and Representation**

#### **Council Meetings**

In 2017 the AIA Council met three times: Leicester in March, London in June and a 2-day meeting was held in Coalbrookdale in October. Extracts of the meetings were posted on the AIA website and reported in *IA News*.

Due to a computing error at Taylor & Francis's end we discovered that 70 members who assumed they had paid at the beginning of the year still showed up on the database as 'lapsed'. Considerable efforts were made to rectify this and in February the AIA Secretary wrote to all of them, as a result of which 45 of them re-joined successfully, though 22 resigned, the remaining three having sadly died in the meantime.



# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## TRUSTEES' REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2017

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The Secretary fielded 25 Restoration Grant applications which mostly arrived just days before the closing date of 31st March, and forwarded them to the Panel. In former years, Mark Sissons took the lead in rating all the applications to provide ranking guidance, but with Mark indisposed this year Keith Falconer undertook this task. Given the size of the grants Council's approval was sought for the selection at the June meeting in London. Details of the decisions are given later in this report.

In March the Council had begun to look at the long-term future of the Association, which resulted in a discussion meeting on the 6th October facilitated by heritage consultant John Batchelor. Council examined what we do (and what we don't do) who we do it for and why we do it. We also looked at what had changed since we were established, and whether our Aims are likely to attract a younger membership. Council considered the question: 'If the AIA ceased to exist, what impact would its loss have on wider society?' The meeting was remarkably consistent in its replies, namely that society would lose our role as advocates for industrial archaeology and our knowledge base. A new mission statement resulted from the discussions laying greater emphasis on our advocacy role, and over the coming months we will consider how best to develop it: *We use and develop our knowledge and expertise in the most accessible ways possible to advocate the value of industrial heritage / archaeology and help others (i.e. the public) to do so.*

Council is grateful to Steve Dewhirst for scanning 23 gazetteers and 18 sets of tour notes, which are now freely available on the web site. In October the Association agreed a new publishing agreement with Taylor & Francis which would see the printing of *IA News* being done by Routledge.

On a poignant note we received a legacy of £15,000 from the executors of the late Patrick Nott, a long-standing member who died shortly after attending the 2016 Annual Conference in Telford.

### Representation

Council members also represent the AIA and industrial archaeology matters in other groups and committees regionally and nationally to promote the Objects of the Association. Below are some of the key positions they held during the year.

Prof. Marilyn Palmer our President, is on the National Trust Historic Environment Panel as a Specialist Advisor; Chair of Trustees on the Council for British Archaeology; she chairs the East Midlands CBA committee; is on the National Railway Heritage Awards committee; on the Leicestershire Industrial History Society as Vice President; on the committee of the Leicestershire Archaeological & Historical Society; chairs the Trustees of their Research Fund; represents AIA on the Heritage Alliance; Marilyn is also the Subject Advisor for Archaeology to the Third Age Trust.

Keith Falconer the AIA Chairman until 27th August 2017, is on the All Party Parliamentary Group (APPG) on the Industrial Heritage; on the Industrial Heritage Support Officer steering group; on HLF's Industrial Maritime & Transport Group; member of the Canal & River Trust Heritage Advisory Group; on the European Route of Industrial Heritage UK group; consultant to Historic England on the heritage of navigable inland waterways; and a Visiting Research Fellow at the History of Technology Research Unit at the University of Bath.

Dr Michael Nevell the Vice Chairman until the AGM in August and then Chairman, is on Historic England's Expert Advisory Group; trustee of CBA and Chair of the CBA North West Industrial Archaeology Panel; and until April was Chair of the ClfA Buildings Archaeology Group.

Mark Sissons sadly had to give up his roles over the year as an incurable illness took over, forcing him to resign in November. He died in February 2018. For part of the year he was on the CBA National Listed Buildings panel; on the Heritage Railway Association and as Archivist to them; on the North York Moors National Park archaeological panel; and both a director of, and archivist to, the North York Moors Historical Railway Trust.



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## TRUSTEES' REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2017

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David de Haan the Honorary Secretary, is on the HLF's Industrial, Maritime & Transport group and is Vice Chairman of the Friends of the Ironbridge Gorge Museum.

Bruce Hedge is Chairman of the Vale of White Horse Industrial Archaeology Group; and a volunteer at Swindon Museum.

John Jones the Honorary Treasurer, is Records Officer of the Suffolk Industrial Archaeology Society; and Honorary Treasurer of the East of England Regional Industrial Archaeology Conference.

Dr Robert Carr represents AIA at the AGM of the Heritage Alliance and at the AGM of the British Archaeological Awards; on the Newcomen Society; the Greater London Industrial Archaeology Society; and the AIA representative on TICCIH-GB.

Tony Crosby is on the All Party Parliamentary Group (APPG) on the Industrial Heritage; and on the Essex Industrial Archaeology Group as Chairman.

Ian Miller is a member of the Industrial Archaeology Committee of the Cumbria & Westmorland Antiquarian and Archaeological Society; and a member of the CBA North West Industrial Archaeology Panel.

Roy Murphy is Chairman of Droitwich History and Archaeology Society; Councillor of Droitwich Town Council; and District Councillor of Wychavon District Council. He represents the AIA at regional Industrial Archaeology conferences.

Amber Patrick the Planning Casework Officer, is on the Council of the Gloucestershire Society for Industrial Archaeology; and coordinator of the Maltings Special Interest Group of the Brewery History Society.

Kate Dickson is the AIA Liaison Officer on E-FaITH; she is a trustee of the Architectural Heritage Fund; a Heritage Lottery Fund mentor; Membership Assessor and member of the Membership & Ethics Committee of the Institute of Historic Building Conservation; and Chair, Midlands Regional Committee, of the Heritage Trusts Network.

Mark Watson is the UK representative of the International Committee for the Conservation of the Industrial Heritage (TICCIH); Convenor of the Institute of Historic Building Conservation (IHBC) Scotland branch; he sits on the ICE Engineering History & Heritage Editorial Advisory Panel; the Institution of Engineers & Shipbuilders in Scotland Hall of Fame judges panel; on committees of the Scottish Industrial Heritage Society (SIHS), the Scottish Vernacular Buildings Working Group (SVBWG), and the Scottish Transport & Industry Collections Knowledge Network (STICK).

Shane Kelleher is a trustee and Secretary of the Birmingham Conservation Trust; on the Archives and Collections Committee; and on the Archaeology Committee of the Historical Metallurgy Society.

### Lobbying, Advocacy and Communication

The future of Helmshore Mills Textile Museum and Queen's Mill in Burnley remained uncertain and a sustained effort was made by Sir Neil Cossons, the President, the Chairman and others to get the local authority to provide funding. The Newcomen Society, the National Trust and the Victorian Society supported the lobbying. The Association also nominated Queen's Street Mill to the World Monuments Watch which in turn informs nominations for Europa Nostra's 'Most Endangered Site'. Whitechapel's grade II\* listed Bell Foundry was also under threat of closure and the Association supplied evidence.



# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## TRUSTEES' REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2017

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Under the new title of 'Planning Casework Officer' Amber Patrick reviewed about a hundred potential cases involving the demolition or major conversion of industrial buildings and sites during the year. Comments were made on 25 cases. These included: Beehive Mills in Bolton; Cardiff Bay Station; the former Marconi site in Chelmsford, Essex; Fussell's ironworks in Mells, Mendip; Mutual Mills, Rochdale; the Victory Arch, Consett, County Durham; the demolition of the chimneys at Stewartby Brickworks, Bedfordshire; Rutland Mills, Wakefield; gas holders at Greenwich Peninsula and the Oval, Kennington; Mutual Mills, Heywood, Rochdale; the severely fire damaged Newsome Mills in Huddersfield; Hollins Mill in Todmorden and Croft End Mill in Stubbs. There were also comments on the Whitechapel Bell Foundry, malthouses in Bishops Cleeve, Kimberley, Nottinghamshire and Banbury Oxfordshire. Appeals were made on Chance's Glass Works in Smethwick and on the Waltham Abbey Royal Gunpowder Mills.

Tony Crosby represented the Association at two of the HLF's Industrial, Maritime and Technology group meetings, where the Fund's officers are informed of the issues within the sector and how grants might be best directed to support this. The first was on board the Medway Queen, Gillingham Pier, in June. The Association of British Transport and Engineering Museums through their consultants, the International Railway Heritage Consultancy, have been commissioned to up-date the guidelines on Larger and Working Objects, due for publication in early 2018. HLF recommended a Resilient Heritage grant programme to support organisational sustainability in a strategic manner. A further meeting was held at the London Museum of Steam & Water in December attended by Tony Crosby and Shane Kelleher. The launch of the European Year of Cultural Heritage 2018 (EYCH) took place on the same day and several AIA events will be badged accordingly. Consultation on various HLF initiatives were announced to come on stream in 2018 and 2019. However, the HLF grant budget for 2018 will be £190m, a lower amount than in recent years.

The All Party Parliamentary Group (APPG) on the Industrial Heritage had planned an Evidence Session on 27th April, but this was abandoned as Parliament went into recess due to the imminent General Election. They met again on 18th July to be reconstituted in 2017. Following this there were two Evidence Sessions on 11th and 12th October when representatives from various heritage bodies made brief presentations to MPs and Peers about the 'three main challenges faced by industrial heritage'. AIA was among them, represented by Sir Neil Cossons, Marilyn Palmer, Mike Neveill, Ian Miller and Keith Falconer. The others who addressed the APPG were Historic England, Historic Environment Scotland, RCAHMW, the Architectural Heritage Fund, the Heritage Lottery Fund, the Canal & Rivers Trust, the Heritage Railway Association, Chatham Dockyard, Big Pit, Ironbridge Gorge Museum and Sheffield Industrial Museum. However, as in 2016, the number of MPs and Peers attending the APPG remains small and we urge all AIA members to encourage their local MPs to take an active part in this group and thereby raise the profile of industrial heritage.

### AIA Practical Day

This year's event took place at the Ironbridge Gorge World Heritage Site on 8th April. The day, which was titled 'Forging Ahead: Understanding the Iron Industry', was led by the archaeologist and author Richard Hayman, formerly Archaeologist at the Ironbridge Gorge Museum Trust, with the assistance of AIA Council members Steve Dewhurst, Ian West, John Powell and Shane Kelleher. Mike Neveill introduced the day and outlined the work and remit of the Association before introducing Richard Hayman, who provided an excellent introduction to the processes, buildings and landscapes of the historic iron industry. The main element of the day consisted of a walking tour of the Ironbridge Gorge taking in the key iron production and related sites in the area including: the Old Furnace, the Upper Forge, the site of the earliest known steel cementation furnace, the AGA foundry, and the early forge at Dale End. A picnic lunch at Bedlam Furnaces followed a tour of the Iron Bridge by David de Haan, before the group moved on to look at the engine house at Lloyds Coppice, and finish the tour at the Blists Hill Blast Furnaces. 23 people were in attendance, 10 of whom were not AIA members or students.



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## TRUSTEES' REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2017

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### Annual Conference

It was held at Moulton College, Northamptonshire from 25th to 30th August. At the Friday Seminar on Developer-funded projects presentations were given on the National Planning Policy Framework, Enderby Wharf in Greenwich, Swansea's copper and zinc smelting works, the M74 in Glasgow, King's Cross Goods Station, and pumping stations in Wessex.

The following day there were lectures on Northamptonshire's boot and shoe industry, on the National lift tower, onion barns and the Bedfordshire brick industry, followed by contributions from seven members, reports from the recipients of awards, and the annual dinner. Following the AGM on 27th August attended by 77 members and guests Nigel Crowe gave the Rolt Memorial Lecture on The Grand Union Canal. On subsequent evenings there were lectures on the Leighton Buzzard Light Railway and on the Wolverton Railway Works.

During the conference there were visits to Harrington Aviation Museum, Northampton's boot and shoe quarter, Rushden Transport Museum, the Shuttleworth Collection of aircraft, the Leighton Buzzard Light Railway, Bletchley Park, Stoke Bruerne Canal Museum and Blisworth Tunnel, Weedon Royal Ordnance Depot, Wolverton Railway Works, the Milton Keynes Museum, the National Lift Tower and Church's Shoe Factory. A full illustrated report appeared in the winter edition of *IA News*.

Full credit must go to our Conference organising team of Marilyn Palmer and David Ingham and for the excellent support from John McGuinness and Steve Miles. Our thanks also go to the many host organisations for their involvement with the study visits.

### Publications

IA News: This quarterly is the bulletin and main communication organ of the AIA. Four issues under the editorship of Chris Barney were published by the Association in 2017, which continues to encourage high standards in all aspects of the study of industrial archaeology. Illustrated reports covered all the Association's activities as well as short technical articles, reports on the work of the AIA Council, affiliated societies, restoration grants, regional news, international news, visits, conferences, letters, etc. Highlights during 2017 included illustrated reports on the threatened cotton mills of Lancashire – Queen Street Mill in Burnley and Helmshore Mills Textile Museum; Sandfields Pumping Station in the Black Country; on the future of Industrial Archaeology societies; Woolwich Arsenal; and an update on Restoration projects.

IA Review: Peer reviewed and with an international Editorial Board, the journal of the AIA edited by Dr Michael Nevell and Dr Ian West was published for the Association by Taylor & Francis. The May issue, Volume 39.1, contained papers on: Defining the Vintage Car – LTC Rolt and Vintage Sports Car Club and the Rise of Motoring Heritage (the Rolt Lecture 2015); The Roads of Andalusia and Valencia; Mineral Loading Docks in Rande Strait, North-Western Spain; Lime Burning in Clamp Kilns in Scotland's Western Central Belt; and Lion Salt Works, Northwich.

The November issue, Vol 39.2, was delayed and did not appear in print until February 2018, though some of the papers were available on-line during 2017. This was dedicated to Workers' Housing, including articles on Manchester; Back-to-back Housing in Leeds; Cotton Workers' Accommodation in the Derwent Valley; Workers' Affordable Housing and the Development of Construction Systems.

Later in the year Ian Miller replaced Mike Nevell as co-editor and Rebecca Haslam, Senior Post-excavation Archaeologist for Pre-Construct Archaeology in London, was appointed to a new post of Assistant Editor.



# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## TRUSTEES' REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2017

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### Awards

To encourage scholarship and investigation in the industrial archaeology field, awards were made to archaeologists, historians, professionals and students:

1. The winners of the Peter Neaverson Award for Outstanding Scholarship were Marilyn Palmer and Ian West for *Technology in the Country House*.
2. The Voluntary Societies Publication Award went to Philip Tolley (editor), Norfolk Industrial Archaeology Society, for *Norfolk 1890*.
3. The Commercial Publications Award went to Michael Nevell, Andrea Smith and Frank Meddens (editors) for *The Birth of Industrial Glasgow: the Archaeology of the M74*.
4. The Archaeological Report Award went to Rebecca Haslam and Guy Thompson of Pre-Construct Archaeology for *An Immense and Exceedingly Commodious Goods Station* [King's Cross].
5. The Postgraduate Dissertation Awards went to Siobhan Osgood of Trinity College, Dublin, for *Railway Architecture: The Great Northern Railway (Ireland) at Dundalk*; and to Kristin Potterton of the University of York for *Managing the Industrial Ruin in a national park*.
6. The Dorothea Award went to Hayley Underwood and Phil Wright for the restoration of the steam engine at Middleport Pottery, Stoke.

### Restoration Grants

In 2017 the Association received a further very generous amount from the same anonymous donor to support our Restoration Grants, and we were also thrilled to receive support from a second donor. Since this scheme began we are delighted to report that over half a million pounds have been allocated to restoration projects. New restoration projects and progress on the on-going ones were reported in greater detail to the annual conference in August and there was widespread support for them. As is usually the case with grants, the fund was heavily over-subscribed. This year there were 25 applications requesting total grants of £304,912 towards projects with a total value of £759,463. Eight awards were made totalling £122,000:

1. Beeleigh Mill Restoration Group: restoration of flooring of the 1795 corn mill at Maldon, Essex. £20,000.
2. Ferryhill Railway Heritage Trust: replacement of timbers to the 1905 railway turntable at Ferryhill, Aberdeen. £20,000.
3. Lakeland Arts: restoration of the engine and boiler of the c1895 steam launch 'Lady Elizabeth'. £20,000.
4. Britannia Sailing Trust: restoration of the 1915 sailing smack 'Britannia' at Gweek, Cornwall. £18,700.
5. Museum of East Anglian Life: restoration of a 1912 Burrell agricultural traction engine, the 'Empress of Britain' at Stowmarket, Suffolk. £16,500.
6. Helston Railway Preservation Society: restoration of the boiler and brakes of the 1949 Peckett locomotive 'William Murdoch' at Helson, Cornwall. £14,000.
7. Underfall Yard Trust: restoration of Victorian dock maintenance machinery at Bristol. £9,500.
8. Greensand Railway Museum Trust: replication of the roof for an armoured 1917 Motor Rail & Tram Car at Leighton Buzzard. £3,300.

### Industrial Heritage Support Officer (IHSEO)

Three years of further funding was secured from Historic England from April 2017, along with a contribution from the AIA. In May Shane Kelleher was re-appointed as the Officer until 2020, but left the post in December. Key outputs in 2017 have included:

- Provision of advice, information and support to 22 organisations;
- Training, delivery and attendance by the Officer at 15 events
- The launch of the West Midlands Industrial Heritage Network at Coalbrookdale in March, and discussions for similar networks to be established for Greater London and Tyne & Wear.



# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## TRUSTEES' REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2017

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### Visits

#### Spring Tour to the Randstad in the Netherlands in May

'Heritage of Industry' organized this 7-day tour with the assistance of Jur Kingma, which quickly sold out. A full report by John Copping appeared in the autumn issue of *IA News*. A Friday afternoon seminar in Utrecht on the topic of the adaptive re-use of industrial buildings was well received and attracted support from other industrial heritage organisations in the Netherlands and Flanders. Our thanks go to Council members Amber Patrick and Mark Watson who gave presentations at the event. Highlights of the tour included the magnificent Harvey & Co pumping engine at Cruquius Pumping Station, the windmills at Kinderdijk, a boat trip to Rotterdam and visits on board two preserved ships – SS Rotterdam and HMS Elfin.

#### Country House Comfort & Convenience

In this continuing series of specialist tours organized by 'Heritage of Industry' four tours ran during the year. The first one was a repeat visit to the Welsh Borders in April led by Ian West. The other three were led by Marilyn Palmer: to the South West in June; to Northern Ireland in August; and to the North East in September. All were fully booked.

### Results

The net deficit for the year amounted to £1,098, with a deficit of £9,916 attributable to restricted funds, £15,000 surplus to designated funds and a deficit of £6,182 attributable to unrestricted funds (2016: net deficit of £80,597, with £80,087 attributable to restricted funds and £510 attributable to unrestricted funds).

### Reserves policy

The policy remains unchanged from 2016 whereby Council maintains a contingency for a late cancellation of the annual conference, for a cancellation of an issue of *Industrial Archaeology Review*, and for a sufficient reserve to cover cash flow fluctuations during the year. The Council considers that a reserve of not less than £60,000 is required.

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## TRUSTEES' REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2017

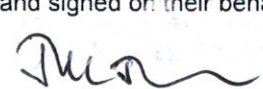
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### Changes on Council

Keith Falconer completed his three-year term as Chairman in August and was succeeded by Michael Nevell. Ian Miller, Shane Kelleher and Tegwen Roberts accepted Council's nomination for a further three year term. There were vacancies for a Vice Chairman, a Publicity Officer and an Affiliated Societies Officer which were not filled. The Honorary Secretary continued to act as the Liaison Officer, who throughout the year supported Council, dealt with queries and forward information to the appropriate quarter. We are very grateful to all officers and members of Council for the extensive amount of time and effort that they commit voluntarily to ensure the smooth running of the Association through Council and its committees. That was particularly valuable in the first half of the year when due to the terminal illness of the Secretary's wife Council members shared much of his workload. Thanks are especially due to John Jones, Keith Falconer and Ian West.

This report was approved by the Trustees, on 2/6/18 and signed on their behalf by:

  
Dr Michael Nevell  
Chairman

  
John Jones  
Treasurer



# **ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY**

(A Company Limited by Guarantee)

## **INDEPENDENT EXAMINER'S REPORT FOR THE YEAR ENDED 31 DECEMBER 2017**

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### **Independent Examiner's Report to the Trustees of Association for Industrial Archaeology (the 'company')**

I report to the charity Trustees (who are also Directors for the purpose of company law) on my examination of the accounts of the company for the year ended 31 December 2017, which comprise the Statement of Financial Activities, the Balance Sheet and related notes.

This report is made solely to the charity's Trustees, as a body, in accordance with section 145 of the Charities Act 2011. My work has been undertaken so that I might state to the charity's Trustees those matters I am required to state to them in an Independent Examiner's Report and for no other purpose. To the fullest extent permitted by law, I do not accept or assume responsibility to anyone other than the charity and the charity's Trustees as a body, for my work, for this report, or for the opinions I have formed.

### **Responsibilities and Basis of Report**

As the Trustees of the charity (and its directors for the purposes of company law) you are responsible for the preparation of the accounts in accordance with the requirements of the Companies Act 2006 ('the 2006 Act').

Having satisfied myself that the accounts of the company are not required to be audited under Part 16 of the 2006 Act and are eligible for independent examination, I report in respect of my examination of the company's accounts carried out under section 145 of the Charities Act 2011 ('the 2011 Act'). In carrying out my examination I have followed the Directions given by the Charity Commission under section 145(5)(b) of the 2011 Act.

An independent examination does not involve gathering all the evidence that would be required in an audit and consequently does not cover all the matters that an auditor considers in giving their opinion on the financial statements. The planning and conduct of an audit goes beyond the limited assurance that an independent examination can provide. Consequently I express no opinion as to whether the financial statements present a 'true and fair' view and my report is limited to those specific matters set out in the independent examiner's statement.

### **Independent Examiner's Statement**

I have completed my examination. I can confirm that no matters have come to my attention in connection with the examination giving me cause to believe:

1. accounting records were not kept in respect of the company as required by section 386 of the 2006 Act; or
2. the accounts do not accord with those records; or
3. the accounts do not comply with the accounting requirements of section 396 of the 2006 Act other than any requirement that the accounts give a 'true and fair' view which is not a matter considered as part of an independent examination; or
4. the accounts have not been prepared in accordance with the methods and principles of the Statement of Recommended Practice for accounting and reporting by charities applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102).

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## INDEPENDENT EXAMINER'S REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2017

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I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.

Signed: 

Dated: 8 June 2018

Sharon Gravener FCCA

### Scrutton Bland LLP

Chartered Accountants

Fitzroy House  
Crown Street  
Ipswich  
Suffolk  
IP1 3LG

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## STATEMENT OF FINANCIAL ACTIVITIES INCORPORATING INCOME AND EXPENDITURE ACCOUNT FOR THE YEAR ENDED 31 DECEMBER 2017

	Note	Unrestricted funds 2017 £	Restricted funds 2017 £	Total funds 2017 £	Total funds 2016 £
<b>Income from:</b>					
Donations and legacies		17,069	113,000	130,069	11,458
Charitable activities		47,517	-	47,517	67,181
Other trading activities	5	6,000	-	6,000	5,862
Investments	4	457	84	541	1,136
<b>Total income</b>		<b>71,043</b>	<b>113,084</b>	<b>184,127</b>	<b>85,637</b>
<b>Expenditure on:</b>					
Charitable activities	6,7,8	62,225	123,000	185,225	166,234
<b>Total expenditure</b>		<b>62,225</b>	<b>123,000</b>	<b>185,225</b>	<b>166,234</b>
<b>Net income / (expenditure) before other recognised gains and losses</b>		<b>8,818</b>	<b>(9,916)</b>	<b>(1,098)</b>	<b>(80,597)</b>
<b>Net movement in funds</b>		<b>8,818</b>	<b>(9,916)</b>	<b>(1,098)</b>	<b>(80,597)</b>
<b>Reconciliation of funds:</b>					
Total funds brought forward		61,348	55,300	116,648	197,245
<b>Total funds carried forward</b>		<b>70,166</b>	<b>45,384</b>	<b>115,550</b>	<b>116,648</b>

The notes on pages 15 to 23 form part of these financial statements.



# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

REGISTERED NUMBER: 1326854

## BALANCE SHEET

AS AT 31 DECEMBER 2017

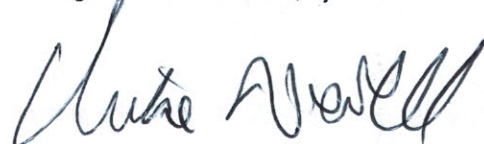
	Note	£	2017 £	£	2016 £
<b>Current assets</b>					
Debtors	10	25,004		12,236	
Cash at bank and in hand		263,848		254,751	
		<u>288,852</u>		<u>266,987</u>	
<b>Creditors:</b> amounts falling due within one year	11	(173,302)		(150,339)	
<b>Net current assets</b>			<u>115,550</u>		116,648
<b>Net assets</b>			<u>115,550</u>		<u>116,648</u>
<b>Charity Funds</b>					
Restricted funds	12	45,384			55,300
Unrestricted funds	12	70,166			61,348
<b>Total funds</b>			<u>115,550</u>		<u>116,648</u>

The company's financial statements have been prepared in accordance with the provisions applicable to companies subject to the small companies regime.

The Trustees consider that the company is entitled to exemption from the requirement to have an audit under the provisions of section 477 of the Companies Act 2006 ("the Act") and members have not required the company to obtain an audit for the year in question in accordance with section 476 of the Act.

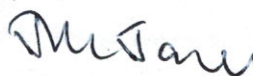
The Trustees acknowledge their responsibilities for complying with the requirements of the Companies Act 2006 with respect to accounting records and the preparation of financial statements.

The financial statements were approved and authorised for issue by the Trustees on 2nd June 2018 and signed on their behalf, by:



Dr Michael Nevell

Chairman



John Jones

Treasurer

The notes on pages 15 to 23 form part of these financial statements.

Under Companies Act 2006, s454, on a voluntary basis, the trustees can amend these financial statements if they subsequently prove to be defective.



# **ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY**

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## **NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2017**

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### **1. Accounting Policies**

#### **1.1 Basis of preparation of financial statements**

The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) (effective 1 January 2015) - (Charities SORP (FRS 102)), the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) and the Companies Act 2006.

The financial statements have been prepared to give a 'true and fair' view and have departed from the Charities (Accounts and Reports) Regulations 2008 only to the extent required to provide a 'true and fair' view. This departure has involved following the Charities SORP (FRS 102) published on 16 July 2014 rather than the Accounting and Reporting by Charities: Statement of Recommended Practice effective from 1 April 2005 which has since been withdrawn.

Association for Industrial Archaeology meets the definition of a public benefit entity under FRS 102. Assets and liabilities are initially recognised at historical cost or transaction value unless otherwise stated in the relevant accounting policy.

#### **1.2 Company status**

The company is a company limited by guarantee. The members of the company are the Trustees named on page 1. In the event of the company being wound up, the liability in respect of the guarantee is limited to £1 per member of the company.

#### **1.3 Fund accounting**

Unrestricted funds comprise accumulated surpluses and deficits on the unrestricted activities of the company. They are available for use at the discretion of the Trustees in the furtherance of the company's charitable objectives.

Restricted funds are created when grants or donations are made for a particular purpose, the use of which is restricted to that purpose. The costs of raising and administering such funds are charged against the specific fund. The aim and use of each restricted fund is set out in the notes to the accounts.

#### **1.4 Incoming resources**

Voluntary income is received by way of donations and gifts, and is included in full in the statement of financial activities when receivable.

Investment income is included when receivable.

Incoming resources from charitable trading activity are accounted for when earned.

# **ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY**

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## **NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2017**

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### **1. Accounting Policies (continued)**

#### **1.5 Resources expended**

Expenditure is recognised on an accrued basis as a liability is incurred. Expenditure includes any VAT which cannot be fully recovered and is reported as part of the expenditure to which it relates.

Governance costs include those costs associated with meeting the constitutional and statutory requirements of the Charity and include the accountancy fees and costs linked to strategic management of the charity.

Costs of generating funds comprise the costs associated with attracting voluntary income and the costs of trading for fundraising purposes.

Charitable expenditure comprises those costs incurred by the Charity in the delivery of the activities and services for its beneficiaries. It includes both costs that can be allocated directly to such activities and those costs of an indirect nature necessary to support them.

All costs are allocated between the expenditure categories of the SoFA (Statement of Financial Activities) on a basis designed to reflect the use of resource.

Grants payable are charged in the year when the offer is made except in those cases where the offer is conditional, such grants being recognised as expenditure when the conditions attaching are fulfilled. Grants offered subject to conditions which have not been met at the year end are noted as a commitment, but not accrued as expenditure.

#### **1.6 Debtors**

Trade and other debtors are recognised at the recoverable amount.

#### **1.7 Cash at Bank and in hand**

Cash at bank and in hand includes cash and short term highly liquid investments with a short maturity of three months or less from the date of acquisition or opening of the deposit or similar account.

#### **1.8 Liabilities and provisions**

Creditors are recognised at their settlement amount after allowing for any trade discounts due.



# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2017

### 2. Income from donations and legacies

	Unrestricted funds 2017 £	Restricted funds 2017 £	Total funds 2017 £	Total funds 2016 £
Gift Aid	2,069	12,500	14,569	9,958
Donations and legacy	15,000	100,500	115,500	1,500
	<u>17,069</u>	<u>113,000</u>	<u>130,069</u>	<u>11,458</u>
Total donations and legacies				
	<u>17,069</u>	<u>113,000</u>	<u>130,069</u>	<u>11,458</u>
Total 2016	2,833	8,625	11,458	
	<u>2,833</u>	<u>8,625</u>	<u>11,458</u>	

### 3. Income from charitable activities

	Unrestricted funds 2017 £	Restricted funds 2017 £	Total funds 2017 £	Total funds 2016 £
Conference income	30,621	-	30,621	51,415
Subscriptions	16,896	-	16,896	15,766
	<u>47,517</u>	<u>-</u>	<u>47,517</u>	<u>67,181</u>
Total 2016	67,181	-	67,181	
	<u>67,181</u>	<u>-</u>	<u>67,181</u>	

### 4. Investment income

	Unrestricted funds 2017 £	Restricted funds 2017 £	Total funds 2017 £	Total funds 2016 £
Bank interest	457	84	541	1,136
	<u>457</u>	<u>84</u>	<u>541</u>	<u>1,136</u>
Total 2016	984	152	1,136	
	<u>984</u>	<u>152</u>	<u>1,136</u>	

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2017

### 5. Trading activities

	Unrestricted funds 2017 £	Restricted funds 2017 £	Total funds 2017 £	Total funds 2016 £
<b>Charity trading income</b>				
Industrial Archaeology Review	5,588	-	5,588	4,928
Industrial Archaeology News	-	-	-	34
Gazetteers	403	-	403	820
Other sale of publications	9	-	9	80
	<u>6,000</u>	<u>-</u>	<u>6,000</u>	<u>5,862</u>
Net income from trading activities				
	<u>6,000</u>	<u>-</u>	<u>6,000</u>	<u>5,862</u>
Total 2016	5,862	-	5,862	

### 6. Resources expended

	Unrestricted funds £	Total 2017 £	Total 2016 £
Administration costs	6,881	6,881	6,775
AIA Awards	1,900	1,900	-
IA review	11,014	11,014	11,012
IA News	7,055	7,055	7,074
Gazetteers	2,168	2,168	3,479
Conference	31,741	31,741	47,650
	<u>60,759</u>	<u>60,759</u>	<u>75,990</u>
Total 2016	75,990	75,990	

### 7. Governance costs

	Unrestricted funds 2017 £	Restricted funds 2017 £	Total funds 2017 £	Total funds 2016 £
Independent examination	1,466	-	1,466	1,380



# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2017

### 8. Grants made

Restoration grants to institutions:	2017 £	2016 £
Dawn Sailing Barge Trust	-	8,500
Leigh Building Preservation Trust	-	14,900
Friends of Hemingfield Colliery	-	20,000
Wheal Martyn Trust	-	20,000
Penistone Cinema Organ Trust	-	1,000
Norfolk Mills and Pumps Trust	-	20,000
Prickwillow Engine Trust	-	514
Beeleigh Mill Restoration Group	20,000	-
Britannia Sailing Trust	18,700	-
Ferryhill Railway Heritage Trust	20,000	-
Greensand Railway Museum Trust	3,300	-
Helston Railway Preservation Society	14,000	-
Lakeland Arts	20,000	-
Museum of East Anglian Life	16,500	-
Underfall Yard Trust	9,500	-
Awards Fund Grants	500	1,900
Peter Neaverson Awards	500	2,050
Total	<u>123,000</u>	<u>88,864</u>

### 9. Net income/(expenditure)

During the year, no Trustees received any remuneration (2016 - £NIL).

During the year, no Trustees received any benefits in kind (2016 - £NIL).

During the year, eleven Trustees received reimbursement of travelling expenses totalling £2,152 (2016 - £2,312 paid to eleven Trustees).

### 10. Debtors

	2017 £	2016 £
Trade debtors	19,406	6,638
Prepayments and accrued income	5,598	5,598
	<u>25,004</u>	<u>12,236</u>

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2017

### 11. Creditors: Amounts falling due within one year

	2017 £	2016 £
Trade creditors	12,634	13,558
Other creditors	160,668	136,781
	<u>173,302</u>	<u>150,339</u>

### 12. Statement of funds

#### Statement of funds - current year

	Balance at 1 January 2017 £	Income £	Expenditure £	Balance at 31 December 2017 £
<b>Designated funds</b>				
Designated Funds	-	15,000	-	15,000
<b>General funds</b>				
General Funds	61,348	56,043	(62,225)	55,166
Total Unrestricted funds	<u>61,348</u>	<u>71,043</u>	<u>(62,225)</u>	<u>70,166</u>
<b>Restricted funds</b>				
Restoration grant fund	20,281	112,500	(122,000)	10,781
Neaverson legacy	34,188	84	(500)	33,772
Awards fund	831	500	(500)	831
	<u>55,300</u>	<u>113,084</u>	<u>(123,000)</u>	<u>45,384</u>
Total of funds	<u>116,648</u>	<u>184,127</u>	<u>(185,225)</u>	<u>115,550</u>

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2017

### 12. Statement of funds (continued)

#### Statement of funds - prior year

	Balance at 1 January 2016 £	Income £	Expenditure £	Balance at 31 December 2016 £
<b>Designated funds</b>				
	-	-	-	-
<b>General funds</b>				
General Funds	61,858	76,860	(77,370)	61,348
	61,858	76,860	(77,370)	61,348
Total Unrestricted funds	61,858	76,860	(77,370)	61,348
<b>Restricted funds</b>				
Restoration grant fund	97,070	8,125	(84,914)	20,281
Neaverson legacy	36,086	152	(2,050)	34,188
Awards fund	2,231	500	(1,900)	831
	135,387	8,777	(88,864)	55,300
Total of funds	197,245	85,637	(166,234)	116,648

The Neaverson legacy was bequeathed by the late Peter Neaverson and the fund is to be used for student travel bursaries and awards for outstanding scholarship.

The restoration grant fund is funded by an anonymous donor and is to be used to support restoration of industrial monuments and artefacts.

The Awards fund was given by an anonymous donor several years ago, to provide initiative awards to encourage the preservation of industrial monuments and artefacts and to enable members to attend the Association's annual conference if they could not otherwise afford to do so.

The Designated Fund has been established to subsidise attendance at our Annual Conference by people who could not otherwise afford to do so. The funds originate from a legacy received from the late Patrick Nott in 2017.



# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2017

### 12. Statement of funds (continued)

#### Summary of funds - current year

	Balance at 1 January 2017 £	Income £	Expenditure £	Balance at 31 December 2017 £
Designated funds	-	15,000	-	15,000
General funds	61,348	56,043	(62,225)	55,166
	<u>61,348</u>	<u>71,043</u>	<u>(62,225)</u>	<u>70,166</u>
Restricted funds	55,300	113,084	(123,000)	45,384
	<u>116,648</u>	<u>184,127</u>	<u>(185,225)</u>	<u>115,550</u>

#### Summary of funds - prior year

	Balance at 1 January 2016 £	Income £	Expenditure £	Balance at 31 December 2016 £
General funds	61,858	76,860	(77,370)	61,348
	<u>61,858</u>	<u>76,860</u>	<u>(77,370)</u>	<u>61,348</u>
Restricted funds	135,387	8,777	(88,864)	55,300
	<u>197,245</u>	<u>85,637</u>	<u>(166,234)</u>	<u>116,648</u>

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2017

### 13. Analysis of net assets between funds

#### Analysis of net assets between funds - current year

	Unrestricted funds 2017 £	Restricted funds 2017 £	Total funds 2017 £
Current assets	79,521	209,331	288,852
Creditors due within one year	(9,355)	(163,947)	(173,302)
	<u>70,166</u>	<u>45,384</u>	<u>115,550</u>

#### Analysis of net assets between funds - prior year

	Unrestricted funds 2016 £	Restricted funds 2016 £	Total funds 2016 £
Current assets	71,690	195,297	266,987
Creditors due within one year	(10,342)	(139,997)	(150,339)
	<u>61,348</u>	<u>55,300</u>	<u>116,648</u>