



**Annual report of the Trustees and  
Financial Statements for the year ended 31<sup>st</sup> December 2014**

**For**

**Association for Industrial Archaeology**

**A Company Limited by Guarantee**

**Charity No: 277511  
Company No: 1326854**

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

**Association for Industrial Archaeology (Registered Number: 1326854)**

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# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

## General Report of the Trustees for the year ending 31<sup>st</sup> December 2014

### Legal and Administrative Information for the Year ending 31<sup>st</sup> December 2014

Registered Office: Ironbridge Gorge Museum Trust, Coach Road, Coalbrookdale, Telford, Shropshire, TF8 7DQ.

Independent Examiners: Scrutton Bland, Chartered Accountants and Statutory Auditors, Fitzroy House, Crown Street, Ipswich, IP1 3LG.

Bankers: NatWest Plc, 50 Station Road, Llanishen, Cardiff CF14 5QP.

Honorary President: Professor Marilyn Palmer.

Honorary Vice-Presidents: Professor Angus Buchanan, Sir Neil Cossons, John Hume, and Stuart B. Smith (died 13.04.2014).

#### The Elected Officers during the year

Chairman: Keith Falconer  
Vice Chairman: Michael Nevell  
Secretary: David de Haan  
Treasurer: John Jones.

#### Council of Management

Chris Barney, Tony Crosby, David de Haan, Stephen Dewhurst, Keith Falconer, Helen Gomersall, John Jones, Shane Kelleher, Ian Miller, Michael Nevell, Amber Patrick, Marilyn Palmer, Tegwen Roberts and Ian West.

#### Roles of Council, including Co-opted members

David Alderton	Heritage Alliance Liaison
Ian Bapty	Industrial Heritage Support Officer
Bill Barksfield	Overseas Trips and Peter Neaverson Travel Bursary judge
Chris Barney	Editor, IA News and Local Society Publication Award judge
Dr Robert Carr	British Archaeological Awards liaison, and TICCIH-GB Representative
Dr Paul Collins	AIA Partnerships, Dorothea Award judge and Peter Neaverson Digital Initiative judge
Tony Crosby	Restoration Grant liaison with donor, and APPT representative
David de Haan	Honorary Secretary and Liaison Officer
Stephen Dewhurst	Dorothea Award judge
Keith Falconer	AIA Chairman, and APPT representative
Roger Ford	Sales Officer
Helen Gomersall	Peter Neaverson Award judge and Archaeological Report judge
Bruce Hedge	Membership development
John Jones	Honorary Treasurer
Shane Kelleher	Archaeological Reports Awards coordinator and Peter Neaverson Digital Initiative coordinator
John McGuinness	Conference Secretary
Michael Messenger	Website Manager, Professional Publication judge and Peter Neaverson Digital Initiative judge
Stephen Miles	Conference Booking Secretary
Ian Miller	
Roy Murphy	Publicity
Dr Michael Nevell	Vice Chairman, Co-editor IA Review, Peter Neaverson Award judge and Dissertation Awards judge

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Prof Marilyn Palmer	Hon President, Dissertation Awards coordinator, Publication Awards coordinator and Peter Neaverson Award judge
Amber Patrick	Endangered Sites Officer, Peter Neaverson Travel Bursary judge and Archaeological Report judge
John Powell	Librarian and Archivist
Paul Saulter	E-FAITH Liaison
Dr Tegwen Roberts	
Mark Sissons	Restoration Grants
Anne Sutherland	Liaison Assistant (paid)
Lynne Walker	Affiliated Societies Officer and Local Society Publication Award judge
Mark Watson	Chair: TICCIH-GB
Dr Ian West	Health & Safety Officer, Peter Neaverson Award coordinator and Peter Neaverson Travel Bursary coordinator, and Co-editor IA Review.

### **Directors' Report for the year ended 31<sup>st</sup> December 2014**

The Council of Management presents its report, with the financial statements of the Association, for the year ended 31 December 2014. This report also represents the Trustees' Report, which is required to be prepared by Part 8 of the Charities Act 2011.

### **Status**

The Association for Industrial Archaeology is registered in England as a charitable company limited by guarantee, under the Companies Act 1948 (no 1326854) and the Charities Act 1960 (no 277511). The company was established under the Memorandum of Association which established the objects and powers of the charitable company and is governed under its articles of association, as amended in 2001 and 2013. In the event of the Association being wound up the members are liable for payment of the debts and liabilities of the Association and of the costs, charges and expenses of winding up. Each member is liable for such amount as may be required not exceeding £1.00.

### **Objects and activities**

The objects for which the Association is established are to encourage and promote for the public benefit the study of, and research in, the archaeology of industry and the industrial period, and to promote education in the identification, recognition and conservation of the industrial heritage.

### **Meetings and Representation**

In 2014 the AIA Council met four times: Leicester in March, London in June, Chester in September and Coalbrookdale in October. Council members also represent the AIA and industrial archaeology matters in other groups and committees regionally and nationally to promote the Objects of the Association. Here are some of the key positions they hold. Marilyn Palmer our President is on the National Trust Archaeology Panel, on the Council for British Archaeology, the East Midlands CBA committee, the National Railway Heritage Awards committee and on the Leicestershire Industrial History Society as Vice President; Keith Falconer AIA Chairman is on the All Party Parliamentary Group (APPG) on the Industrial Heritage, the Industrial Heritage Support Officer steering group, on HLF's Industrial Maritime & Transport Group, on the European Route of Industrial Heritage UK group and on the AIA's advisory panel for European Industrial Heritage Year 2015; Michael Nevell AIA Vice-Chairman is on the English Heritage Industrial Archaeology Panel, and a member of the Industrial Archaeology Committee of the Cumbria & Westmorland Antiquarian and Archaeological Society; Mark Sissons Immediate Past AIA Chairman is on the CBA National Listed Buildings panel, on the Heritage Railway Association and the North York Moors National Park archaeological panel, as archivist to the Heritage Railway Association and both a director of, and archivist to, the North York Moors Historical Railway Trust; Robert Carr represents AIA at the AGM of the Heritage Alliance and the AGM of the British Archaeological Awards, on the Newcomen Society, the Greater London IA Society, and the AIA representative on TICCIH-GB; Tony Crosby is on the English Heritage Industrial Archaeology Panel, on the All Party Parliamentary Group (APPG) on the Industrial Heritage, on the Essex Industrial Archaeology Group as chairman, on the Waltham Abbey Royal Gunpowder Mills as director, and on the Committee of the Friends of Great Dunmow Maltings; Ian

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Miller is a member of the Industrial Archaeology Committee of the Cumbria & Westmorland Antiquarian and Archaeological Society; David de Haan AIA Honorary Secretary is on the HLF's Industrial, Maritime & Transport group; Roy Murphy is on the Council of the Droitwich History & Archaeology Society, on Droitwich Town Council's Planning Committee, and represents AIA at regional IA conferences; Amber Patrick is on the Council of the Gloucestershire Society for Industrial Archaeology; Paul Saulter is the AIA Liaison Officer on E-FAITH – European Federation of Associations of Industrial and Technical Heritage, and on the AIA's advisory panel for European Industrial Heritage Year 2015; Mark Watson is the UK Chairman of TICCIH-GB – the International Committee for the Conservation of the Industrial Heritage, on the Scottish Industrial Archaeology Panel, the committee of the Scottish Industrial Heritage Society, the Scottish ICE Panel for Historical Engineering Works, the Scottish Vernacular Buildings Working Group, the Institute of Historic Building Conservation's Education Training and Standards Committee, and on STICK – the Scottish Transport & Industry Collections Knowledge Network.

### **Annual Conference 2014**

The Conference was held at Chester University from 5<sup>th</sup> to 10<sup>th</sup> September and was well supported with 104 delegates attending over the six days. A series of fascinating presentations was on offer for the Friday Seminar on the Archaeology of Chemicals and Textile Finishing, including sessions on Salt, the Chemical Industry, Bleaching, Dyeing, Fustian & Velvet and on Sewage Works. The speakers were Andrew Fielding, Peter Bone, Mark Sissons, Marilyn Palmer, Ian Miller, Roger Holden and Chris Brisbane. Following the AGM on the 7<sup>th</sup> September attended by 78 members and guests Richard Newman gave the Rolt Memorial Lecture on 'Harbour Developments as a Precursor for Industrialisation: the Case of the Ports of Lancaster and Whitehaven'. There were visits to the Chester Canal, Birkenhead Docks, the Wirral Transport Museum, the National Waterways Museum at Ellesmere Port and the Widness chemical industry; to Fiddlers Ferry power station and the Anderton Boat Lift; to Liverpool Docks, the mines of Alderley Edge, the Macclesfield Canal and the Anson Engine Museum; to the salt sites in Nantwich, Middlewich and Northwich, including the Lion Salt Works. Full credit must go to our Conference organisers John McGuinness and Stephen Miles, to the hosts at the study visit sites, and especially to Michael Nevell and his team for planning such an exciting programme.

### **Weekend Meeting 2014**

This was held in Llanymynech in April on lime for use in restoring historic buildings. The course was at full capacity for the first day, and usefully reduced for the very practical hands-on training the following day, while the rest of the delegates went to Kidderminster for the regional meeting of the West of England and Wales IA Societies' annual conference. One delegate was supported by a Peter Neaverson Bursary.

### **Publications**

IA News: This quarterly is the bulletin and main communication organ of the AIA. Four issues under the editorship of Chris Barney were published by the Association in 2014, which aims to encourage high standards in all aspects of the study of industrial archaeology. Illustrated reports covered all the Association's activities as well as short technical articles, reports on affiliated societies, restoration grants, regional news, international news, visits, conferences, letters, etc. Highlights during 2014 included illustrated reports on E-Faith's 2013 meeting in La Chaux de Fonds in Switzerland, the Kirkaldy Testing Machine Museum in London, the Origins and Early Years of AIA, an obituary by Neil Cossons of Honorary Vice President the late Stuart Smith who died in April, the 2014 overseas tour of Moravia and Silesia, EMIAC's conference in Chesterfield, the AIA's annual conference in Chester and the 'Country House Comfort and Convenience' tour in north east England.

IA Review: Peer reviewed and with an international Editorial Board, the journal of the AIA edited by Dr Michael Nevell and Dr Ian West is published for the Association by Maney. The May issue, Volume 36.1, was a special 20<sup>th</sup> Century one, covering Recording Archaeology, Industry on the Hoo Peninsular, the Wearside Pottery in Sunderland, further Textile Processes and Technologies, and Sanitation in Urban Workers' Housing in Ancoats, Manchester. The November issue, Volume 36.2, included the 2013 Rolt Memorial Lecture by Miles Oglethorpe on 'The Public Benefit of Industrial

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Heritage’ and articles on the Reconstruction of the ‘Eric Nordevall II’ Paddle Steamer, Freight Handling and Warehouses in Chicago, and the ReelFitz Newcomen Engine in Cumberland.

### Awards

To encourage scholarship and investigation in the industrial archaeology field, awards were made to archaeologists, historians, professionals and students:

1. Two awards were made for the Peter Neaverson Award for Outstanding Scholarship: to Mike Williams for his *Textile Mills of SW England* and to Jonathan Coad’s for *Support for the Fleet*.
2. The Peter Neaverson Digital Initiative Award was for ‘Investigations at the Ynysfach Ironworks’ nominated for its site-specific website, reconstruction drawings and 3D CG animation of the Ynysfach Ironworks produced by GGAT and iDEA.
3. There were two joint winners in the funded/commercial Archaeological Report Award, category. These were: the *Iron Bridge Conservation Plan* by David de Haan, and *Middleport Pottery Historic Building Record Report* by Shane Kelleher and Ric Tyler. The award for the unfunded Archaeological Report produced by volunteers went to the Roggins Local History Group for their work on the Silkstone Waggonway in South Yorkshire.
4. The Publications Award for commercially-produced publications went to Barrie Trinder for his definitive *Britain’s Industrial Revolution: the Making of a Manufacturing People 1700-1870*.
5. The Local Society Publications Award went to the Sussex Industrial Archaeology Society for the high standard of their various publications, including *Sussex Industrial History*.
6. The Peter Neaverson Student Travel Bursary was awarded in February to Scott Smith for travel to South Georgia whaling stations.

### Grants

In 2014 the Association received a further very generous amount from the same anonymous donor to support conservation projects. These new projects and progress on the on-going projects were described in greater detail to AIA members at the annual conference and there was widespread support for them. As is usually the case with grants, the fund was heavily over-subscribed with fifteen new applications being received for projects valued at over £855,000. Four awards were made:

1. Verdant Works Museum, Dundee for the restoration of a Boulton & Watt engine, £20,000.
2. Restoration of a Thomas Telford warehouse at Wappenshall on the Shrewsbury & Newport Canal, £20,000.
3. Restoration of a specialist salt wagon at the Lion Salt Works, £7,000.
4. Re-erection of a light chamber for the lighthouse on the Scottish island of Colonsay, £4,300.

### Consultation

Marilyn Palmer submitted a response to the DCMS consultation on the future of English Heritage behalf of AIA after liaison with other bodies and useful comments from Tony Crosby, Mark Sissons and Keith Falconer. ‘English Heritage’ and ‘Historic England’ will be the names of the two proposed arms.

### Industrial Heritage Support Officer (IHSO)

The Officer aims to deliver England-wide support to improve the capacity, sustainability and conservation standards of preserved industrial sites with public access. The IHSO was established in September 2012 and was initially funded for three years by English Heritage. The post is managed by the Ironbridge Gorge Museum Trust (IGMT) and is delivered in partnership with AIA and the Association of Independent Museums (AIM). The project has continued to work effectively in 2014, both consolidating the advisory and training support elements of the post, and taking forward fresh initiatives such as local network development.

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Key outputs in 2014 have included:

- 42 groups/organisations supported with advice ranging from fundraising, to practical historic building management, re-locating a museum and volunteer development;
- Training delivered to 82 organisations around the country via 6 events (topics including fundraising, marketing, asset transfer etc.);
- Working with Museums Development North West, Industrial heritage network set up in the in the North West of England, with three day events held to this end;
- Mutual working with a range of partners to maximise the industrial heritage support they deliver (e.g. AIM, ERIH, Prince's Regeneration Trust and Heritage Alliance HLF 'Catalyst' Projects, Association of British Transport and Engineering Museums, All Party Parliamentary Group on Industrial Heritage);
- Working with and supporting English Heritage work nationally (EH Industrial Network) and at regional level via 'Heritage at Risk' and 'Historic Places' teams (e.g. collaborative project to develop skills training support for stationary engine groups in the North West);
- Advocacy work, including attendance/participation at 8 meetings, 2 conferences, preparation of 3 articles, responses to 2 national consultations and media liaison.

In August, English Heritage invited IGMT to apply for a project funding extension until March 2016, and this application was successful. Discussions are also underway about the options for developing and funding a follow-on industrial heritage support project beyond that date (potentially both carrying forward the work of the IHSO, and looking to address some of the obvious limits on a single-office national post), and IGMT and the Steering Group will be working with partners to this end in 2015.

### Visits

#### Spring Tour, Moravia & Silesia, 2<sup>nd</sup> – 7<sup>th</sup> June 2014

This tour was fully booked with 50 people on the coach. With help from the Brno and Ostrava Tourist Bureaux, Sue Constable set up visits to some 15 sites including the Adamov Blast Furnace, the Villa Tugendhat, the four working watermills at Slup, Zlin (once home of the Bata empire), Dul Michal and the Vitkovice blast furnace blowing engines. Taking an interpreter turned out to be a wise investment – whilst there was some English language guiding, the coach driver and the staff at many sites had only very limited English.

#### Country House Comfort and Convenience

There were two trips this year: The Welsh Borders led by Ian West in April and Northern Ireland led by Marilyn Palmer in May. The houses visited are chosen to demonstrate different aspects of the uptake of technology and the impact that had on the owners and staff. At many sites we benefited from the personal attention of the curators and house managers who often learn a great deal about the early technologies used on their sites from our leaders and members of the group.

### **All Party Parliamentary Group (APPG) on The Industrial Heritage**

This group was established in 2014 and met on 25<sup>th</sup> February, 10<sup>th</sup> June and 14<sup>th</sup> October. Tony Crosby represented the AIA at the meetings held at the Houses of Parliament. Other representatives from the sector included Margaret Faull from the National Coal Mining Museum, Sir Neil Cossons, AIA Chairman Keith Falconer and Ian Bapty. The AIA Secretary sent a covering letter from our President Marilyn Palmer and copies of IA News to 60 names at the House of Commons and House of Lords ahead of the June meeting.

### **Financial statements**

The financial statements are prepared in accordance with the Financial Reporting Standards for Smaller Entities (effective April 2008), the Companies Act 2006 and the recommendations of the Statement of Recommended Practice – Accounting and Reporting by Charities.

Results: The net outgoing resources for the year amounted to £35,886 with £35,723 attributable to restricted funds (2013: net incoming resources of £37,493 which included £34,917 attributable to restricted funds).” In preparing this report, the Council has taken advantage of special exemptions applicable to small companies conferred by Schedule 8 of the Companies Act 2006.

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### **Reserves Policy**

The Council members have assessed the major risks to which the Association is exposed, in particular those related to the operations and finances of the Association, and are satisfied that systems are in place to mitigate exposure to the major risks. In line with Charity Commission recommendations, they have reviewed the Reserves Policy having examined potential risks, and have agreed to maintain a contingency for a late cancellation of the annual conference, for a cancellation of an issue of Industrial Archaeology Review, and for a sufficient reserve to cover cash flow fluctuations during the year. The Council considers that a reserve of not less than £60,000 is required.

### **Changes on Council**

Keith Falconer became Chairman and Michael Nevell the Vice Chairman at the AGM in September. Shane Kelleher, Ian Miller and Tegwen Roberts were appointed as Council members in place of Stephen Miles, Roy Murphy and Ian West who had completed two consecutive 3-year terms.

The Honorary Secretary is also the Liaison Officer and with the assistance of Anne Sutherland throughout the year they continue to support Council, deal with queries and forward information to the appropriate quarter. We are very grateful to all officers and members of Council for the extensive amount of time and effort that they commit voluntarily to ensure the smooth running of the Association through Council and its committees.

Approved by the Council of Management on 20<sup>th</sup> June 2015 and signed on its behalf by:

David de Haan, Honorary Secretary



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### **Independent Examiner's Report to the Trustees of Association for Industrial Archaeology**

I report on the financial statements of the company for the year ended 31<sup>st</sup> December 2014 as set out on pages 10 to 17.

This report is made solely to the charity's trustees, as a body, in accordance with Section 145 of the Charities Act 2011. My work has been undertaken so that I might state to the charity's trustees those matters I am required to state to them in this report and for no other purpose. To the fullest extent permitted by law, I do not accept or assume responsibility to anyone other than the charity and the charity's trustees as a body, for my work, for this report, or for the opinions I have formed.

#### **Respective responsibilities of trustees and examiner**

The charity's trustees (who are also the directors of the company for the purposes of company law) are responsible for the preparation of financial statements. The trustees consider that an audit is not required for this year under Section 144(1) of the Charities Act 2011 (the '2011 Act') and that an independent examination is needed.

Having satisfied myself that the charity is not subject to audit under company law and is eligible for independent examination, it is my responsibility to:

- examine the financial statements under Section 145 of the 2011 Act;
- to follow the procedures laid down in the General Directions given by the Charity Commission under section 145(5)(b)^ of the 2011 Act; and
- to state where particular matters have come to my attention.

#### **Basis of independent examiner's report**

My examination was carried out in accordance with the General Directions given by the Charity Commission. An examination includes a review of the accounting records kept by the charity and a comparison of the financial statements presented with those records. It also includes consideration of any unusual items or disclosures in the financial statements and seeking explanations from you as trustees concerning any such matters. The procedures undertaken do not provide all the evidence that would be required in an audit, and consequently no opinion is given as to whether the financial statements present a 'true and fair view' and the report is limited to those matters set out in the statement below.

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### Independent examiner's statement

In connection with my examination, no matter has come to my attention:

- a) which gives me reasonable cause to believe that in any material respect the requirements:
- to keep accounting records in accordance with section 386 of the Companies Act 2006; and
  - to prepare financial statements which accord with the accounting records and to comply with the accounting requirements of the section 396 of the Companies Act 2006 and with the methods and principles of the Statement of Recommended Practice: Accounting and Reporting by Charities
- have not been met; or
- b) to which, in my opinion, attention should be drawn in order to enable a proper understanding of the financial statements to be reached.

Sharon Gravener FCCA

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